

Certification Project Notification (CPN) Submittal for Parts Manufacturer Approvals (PMAs) of Propeller Parts with a Failure Mode that could Result in the Total Loss of Thrust

Comments on the Draft Policy Statement PS-ANE-35.15-02 published online for public comment at http://www.faa.gov/aircraft/draft_docs/policy/

Submitted to Jay Turnberg via email to jay.turnberg@faa.gov

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March 13, 2014

Mr. Jay Turnberg Federal Aviation Administration Engine and Propeller Directorate Standards Staff, ANE-111 12 New England Executive Park Burlington, MA 01803

Dear Mr. Turnberg:

Please accept these comments in response to Draft Policy Statement PS-ANE-35.15-02, <u>Certification Project Notification (CPN) Submittal for Parts Manufacturer Approvals (PMAs) of Propeller Parts with a Failure Mode that could Result in the Total Loss of Thrust, which was published for public comment at http://www.faa.gov/aircraft/draft_docs/policy/.</u>

Contents

Who is MARPA?	4
Comments	4
The Policy Must Guard Against Unnecessary Delays	4
Issue	4
Discussion	4
Recommendation	5
FAA Offices Should Strive for Internally Consistent Policies as Applied to Propeller PMA Applications	5
Issue	5
Discussion	5
Recommendation	6
Confidentiality May Be Sacrificed as a result of Inquiries	6
Issue	6
Discussion	6
Recommendation	6
Conclusion	7

Who is MARPA?

The Modification and Replacement Parts Association was founded to support PMA manufacturers and their customers. Aircraft parts are a vital sector of the aviation industry, and MARPA acts to represent the interests of the manufacturers of this vital resource before the FAA and other government agencies.

MARPA is a Washington, D.C.-based, non-profit association that supports its members' business efforts by promoting excellence in production standards for PMA parts. The Association represents its members before aviation policy makers, giving them a voice in Washington D.C. to prevent unnecessary or unfair regulatory burden while at the same time working with aviation authorities to help improve the aviation industry's already-impressive safety record.

MARPA represents a diverse group of manufacturing interests – from the smallest companies to the largest - all dedicated to excellence in producing aircraft parts.

MARPA members are committed to supporting the aviation industry with safe aircraft components. MARPA members manufacture and sell aircraft components that provide equal or better levels of reliability when compared to their original equipment manufacturer competitors.

MARPA supports efforts to produce guidance that increases the aviation industry's already excellent safety record.

Comments

The Policy Must Guard Against Unnecessary Delays

Issue

The guidance asserts that the CPN process should not add burden to the PMA applicant. This does not consider, however, whether the process may add additional lag time in processing the PMA applications.

Discussion

The Draft Policy Statement aims to address the concern that the certification project notification process is not uniformly followed with respect to propeller PMA projects. The Policy Statement seeks to establish a uniform policy whereby an ACO that receives a PMA application for a propeller part the failure of which could result in total loss of thrust or power is required to coordinate the project with the Certificate Management Aircraft Certification Office and the Engine and Propeller Directorate.

The Policy Statement explains that the CMACO and EPD may have relevant information of which the ACO should be aware for the purposes of project planning and execution. It is not clear, however, by what standard the relevance of any particular information is measured. The additional involvement by multiple layers of authority creates the possibility that delays out of the control of the PMA applicant may

occur, thereby increasing time to market for the applicant. Rather than being subject to the resource-allocation burdens of a single FAA office, the applicant would be required to wait for project review by as many as three separate offices, each with its own resource allocation challenges and priorities. Such delay would constitute an increased burden to the PMA applicant.

If the certificate management office and the Directorate request additional time to study a particular project and add their comments, then this could slow down the turn-around-time for reviewing packages. It is important that each office reviewing an applicant's PMA package operate under the same time requirements.

Recommendation

The Policy Statement should be revised to affirmatively state that "[t]he use of the CPN process *must* not add burden to the PMA applicant." Such a policy can be supported by requiring the CMACO and EPD to provide any relevant feedback to the Project ACO within a narrowly specified time frame. Such a requirement will allow the Project ACO to efficiently review and approve PMA applications, and avoid unnecessary time burdens to the PMA applicant.

FAA Offices Should Strive for Internally Consistent Policies as Applied to Propeller PMA Applications

Issue

The Policy Statement is intended to provide for feedback on certain PMA projects from the CMACO and EPD. These multiple sources of feedback create the possibility that internal policy disputes will arise to the detriment of the PMA applicant whose project is affected.

Discussion

MARPA agrees that certificate management offices and directorates can be valuable sources of information with respect to certain PMA projects. However, separate offices do not always agree on what information is relevant to a given project, nor do offices always agree with respect to particular elements of a given project. For the purposes of developing consistent policy it will often be necessary for separate offices to take time to resolve their differences; but individual PMA applications should not be the vehicle by which they do so.

There have been past instances in which local offices disagreed with a directorate about a technical matter, and the PMA applicant was the real victim, as the two FAA offices brought the application to a stand-still while they resolved their differences. In these cases, the FAA should make it a priority to review the PMA application in a timely manner with no undue delay as a result of internal policy disputes.

The FAA should avoid arguing internal policy disagreements using specific in-process applications. Although a particular application may be useful for the purposes of illustrating policy positions, such illustrations should be done outside of the application process, as these disputes can easily contribute to costly project delays, which are absorbed by the applicant. The FAA should make a concerted effort to resolve internal policy disputes without referring to specific applications or subjecting applications to an internal policy tug-of-war.

Recommendation

The Policy Statement should be revised to state that individual applications will not be delayed due to inter-office policy disagreements. Deference should be given to the Project ACO until such time as the policy dispute is resolved.

Confidentiality May Be Sacrificed as a result of Inquiries

Issue

General inquiries about a part for which an application has been filed may put the PMA applicant at a competitive disadvantage by notifying the TC holder of prospective competition and allowing the TC holder to take protective actions.

Discussion

PMA applications are often considered to reflect sensitive company data, because they reveal the company's business plan. Such business plans often include substantial investment in research and development, and manufacturing infrastructure, with the intention of recouping that investment by offering a PMA part as a competitive solution in the market place. It is therefore important for PMA applicants to closely guard their business strategy and information about the particular parts for which they will be submitting PMA application packages in order to avoid giving their competitors a head start, or an opportunity to take protectionist measures.

If a certificate management office begins making inquiries about a particular part for the purposes of reviewing a PMA application, even without revealing which company has filed the PMA application, it could still alert a TC holder to the potential for competition with respect to that part. Such notification, even though unintentional, would allow the TC holder to take action to protect their market in that part before the PMA part is approved. The TC holder would be at an unfair competitive advantage with advanced notice of competition that would permit it to take protectionist measures, such as monopolizing the market through various agreements or attempting to monopolistically price their products to eliminate competition from the marketplace.

Although PMA parts manufacturers encourage, and indeed thrive, on competition in the marketplace, such competition must be on equal footing. Advanced notice to TC holders of PMA companies' business strategies creates an unfair marketplace in which to compete. Information conveyed to the CMACO and EPD via the CPN database must therefore be kept confidential in order to ensure fair competition.

Recommendation

The Policy Statement should include language emphasizing that information obtained through the CPN process is not public record and must be kept confidential by the CMACO and EPD. MARPA recommends the following language:

"PMA projects entered in the CPN database are for FAA review purposes only; information about such projects must not be disclosed publicly."

Conclusion

MARPA looks forward to working with the FAA to better improve aviation safety. We are happy to sit down with you to work on ways to clarify guidance and policy if you would like further input. Your consideration of these comments is greatly appreciated.

Respectfully Submitted,

Jason Dickstein President

Modification and Replacement Parts Association